

JC474 U.S. PRO  
09/682516  
09/13/01



# TRANSMITTAL FORM

Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: GLO 2 0078

## Optical Wave Guide

First Named Inventor: Matthew Sommers

**SUBMITTED BY**

Name: John P. Cornely

Registration Number: 41687

Electronic Signature Mark: /s/John  
P. Cornely Date Signed: 20010913

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*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

bibd-transmittal	20078submitapds.xml
fee-transmittal	20078submitfee.xml
patent-assignment	20078submitasgn.xml
declaration	Dec30001.tif
declaration	Dec30002.tif
declaration	Dec30003.tif
specification	20078.xml

**Attached Image File(s):**

Dec30001.tif

Dec30002.tif

Dec30003.tif

Case 44-CR-00001

**C mments:**

CLASSIFIED "SECRET//SI

# FEE TRANSMITTAL

Electronic Version 1.0.4

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*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 750**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 06-0308



Deposit Account Name: Fay, Sharpe, Fagan, Minnich & McKee, LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

**SUBMITTED BY**

Authorized Name: John P. Cornely

Electronic Signature Mark: /s/John P. Cornely

Date Signed: 20010913

**BASIC FILING FEE**

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

**EXTRA CLAIM FEES**

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 19	103	\$ 18	0	\$ 0
Independent Claims: 3	102	\$ 80	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0

**ADDITIONAL FEES**

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

**Subtotal For Additional Fees: \$ 40**

EFS-EFTS - 09/2001

**Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only**

EFS ID: 12373  
Application ID: 09682516  
Title of Invention: Optical Wave Guide  
First Named Inventor: Matthew Sommers  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-09-13   
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: GLO 2 0078  
Digital Certificate Holder: cn=John P. Cornely, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US  
Certificate Message Digest: ui1HQB8eANEqXQOq3Pc/og==  
Total Fees Authorized: \$750.0

Payment Category: DA - Deposit Account  
Deposit Account Number: 60308  
Deposit Account Name: John P. Cornely



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

October 11, 2001

To : **FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP  
1100 SUPERIOR AVENUE  
SEVENTH FLOOR  
CLEVELAND, OHIO 44114-2518**

From : Office of Initial Patent Examination  
Subject: Notice of-New Assigned Application Number

Attorney Docket No:**GD-26, GD-28, GLO 20078**  
Previously Assigned Application Number: **09/951,595**  
New Application Number: **09/682,516**

Applicant : **SOMMERS, MATHEW**  
Title : **OPTICAL WAVE GUIDE**  
Date : **09/13/01**

Through an administrative error the above identified application was assigned an incorrect application number. The new application number is listed in the heading above. Please correct your records and refer to the new application number in all future correspondence to the Patent and Trademark Office.

The Office regrets any inconvenience this situation has caused your office. If you have any questions about this matter, please contact Customer Service Center, Office of Initial Patent Examination Division, (703) 308- 1202.

Michelle Terrell

09/13/01  
JCC85 U.S. PTO

09-14-01

#2  
1/31/02

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this Communication and documents referred to as enclosed therein are being deposited with the United States Postal Service on September 13, 2001 in an envelope as "Express Mail Post Office to addressee," Mailing Label Number EL852682195US, addressed to the Box Patent Application, Commissioner of Patents, Washington, D.C. 20231.

  
Iris E. Nauth

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	SOMMERS, Mathew
For	:	<b>OPTICAL WAVE GUIDE</b>
Serial No.	:	09/682,516
Filed Electronically	:	September 13, 2001
Examiner	:	Unknown
Group Art Unit	:	Unknown
Last Office Action	:	None
Attorney Docket No.	:	GD-26, GD-28 GLO 2 0078
		Cleveland, Ohio September 13, 2001

**COMMUNICATION**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

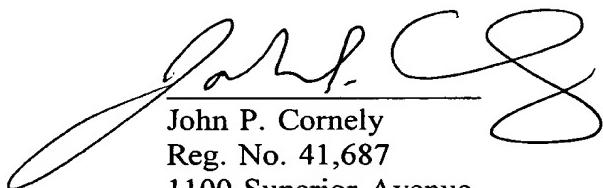
Dear Sirs:

Applicant filed the above-referenced patent application with the United States Patent and Trademark Office (USPTO) today, September 13, 2001 via the Electronic Filing System (EFS).

A courtesy copy of the application (printed in both the conventional format and the Electronic Version 1.2.8 format) including the drawings, which represents the subject matter applicant intended to file via the EFS, is enclosed. Copies of the Assignment, Declaration, and the Acknowledgment Receipt from the EFS filing are also enclosed.

Respectfully submitted,

**FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP**



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